

STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/474,677E
Source: IFW/6
Date Processed by STIC: 11/9/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) **INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,**
- 2) **TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY**

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. **EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE)**
2. **U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**
3. **Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314**

Revised 01/10/06

Raw Sequence Listing Error Summary

ERROR DETECTED

SUGGESTED CORRECTION

SERIAL NUMBER: 09/474,677E

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

1 Wrapped Nucleic
 Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."

2 Invalid Line Length The rules require that a line **not exceed** 72 characters in length. This includes white spaces.

3 Misaligned Amino
 Numbering The numbering under each 5th amino acid is misaligned. Do **not** use tab codes between numbers; use **space characters**, instead.

4 Non-ASCII The submitted file was **not** saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.

5 Variable Length Sequence(s) _____ contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.

6 PatentIn 2.0
 "bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**

7 Skipped Sequences
 (OLD RULES) Sequence(s) _____ missing. If intentional, please insert the following lines for **each** skipped sequence:
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
This sequence is intentionally skipped
Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.

8 Skipped Sequences
 (NEW RULES) Sequence(s) _____ missing. If intentional, please insert the following lines for **each** skipped sequence.
<210> sequence id number
<400> sequence id number
000

9 Use of n's or Xaa's
 (NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.
Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.

10 Invalid <213>
 Response Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence. (see item 11 below)

11 Use of <220> Sequence(s) _____ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules

12 PatentIn 2.0
 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.

13 Misuse of n/Xaa "n" can **only** represent a single nucleotide; "Xaa" can **only** represent a single amino acid



IFW16

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/474,677E

DATE: 11/09/2006
TIME: 10:30:25

Input Set : N:\RJAVED\09474677E.txt
Output Set: N:\CRF4\11092006\I474677E.raw

3 <110> APPLICANT: Sharma, Yash
5 <120> TITLE OF INVENTION: Soluble Factors From Immune Cells of Animals and Humans
Having
6 Anti-Viral, Anti-Microbial and Anti-Neoplastic Activity, HIV Vaccine
7 and Method of Preparation Thereof
W--> 0 <130> FILE REFERENCE:
C--> 9 <140> CURRENT APPLICATION NUMBER: US/09/474,677E
C--> 11 <141> CURRENT FILING DATE: 1999-12-09
13 <150> PRIOR APPLICATION NUMBER: US 60/037,976
14 <151> PRIOR FILING DATE: 1997-02-12
16 <160> NUMBER OF SEQ ID NOS: 2

ERRORED SEQUENCES

18 <210> SEQ ID NO: 1
20 <211> LENGTH: 9
22 <212> TYPE: PRT
24 <213> ORGANISM: Serine
E--> 26 <400> SEQUENCE: Val Ser Gln Asn Tyr Pro Ile Val Gln
E--> 27 1 5
29 <210> SEQ ID NO: 2
30 <211> LENGTH: 9
31 <212> TYPE: PRT
32 <213> ORGANISM: Serine
34 <400> SEQUENCE: 2
36 Ala Ser Gln Asn Tyr Pro Ile Val Gln
E--> 37 1 5 5

"Serine" is an invalid response. see item 10 on Error summary sheet.

Item 10 on Error summary sheet.

FYI: Serine is an amino acid. Does Not Comply with 400 Corrected Diskette Needed

these go under <400> 1

misaligned numbering

(see item 3 on Error summary sheet)

misaligned numbering

(see item 3 on Error summary sheet)

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/474,677E

DATE: 11/09/2006

TIME: 10:30:26

Input Set : N:\RJAVED\09474677E.txt

Output Set: N:\CRF4\11092006\I474677E.raw

L:0 M:201 W: Mandatory field data missing, <130> FILE REFERENCE
L:9 M:270 C: Current Application Number differs, Replaced Current Application Number
L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:26 M:212 E: (34) Invalid or duplicate Sequence ID Number, SEQUENCE ID NOS:1 differs:0
L:27 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:0
L:27 M:301 E: (44) No Sequence Data was Shown, SEQ ID:1
L:27 M:252 E: No. of Seq. differs, <211> LENGTH:Input:9 Found:0 SEQ:1
L:37 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:2